

# Sturm-Hurwitz theorem for Quantum Graphs

Joint work with Ram Band (Technion and Potsdam)

Philippe Charron

October 29, 2024

Toy problem:

## Toy problem:

Let  $f = \sum_{k=m}^M a_k \sin(kx)$  on the interval  $[0, \pi]$ . If  $f$  has  $n$  zeroes on  $(0, \pi)$ , what can we say about the coefficients  $a_k$ ,  $m$  and  $M$ ?

## Toy problem:

Let  $f = \sum_{k=m}^M a_k \sin(kx)$  on the interval  $[0, \pi]$ . If  $f$  has  $n$  zeroes on  $(0, \pi)$ , what can we say about the coefficients  $a_k$ ,  $m$  and  $M$ ?

(partial) Solution: Sturm-Hurwitz theorem

Theorem (Sturm 1836, rediscovered by Hurwitz, 1903)

Let  $f = \sum_{k=m}^M a_k \sin(kx)$ . Then,  $f$  has between  $m - 1$  and  $M - 1$  zeroes in  $(0, \pi)$ .

## Toy problem:

Let  $f = \sum_{k=m}^M a_k \sin(kx)$  on the interval  $[0, \pi]$ . If  $f$  has  $n$  zeroes on  $(0, \pi)$ , what can we say about the coefficients  $a_k$ ,  $m$  and  $M$ ?

(partial) Solution: Sturm-Hurwitz theorem

Theorem (Sturm 1836, rediscovered by Hurwitz, 1903)

Let  $f = \sum_{k=m}^M a_k \sin(kx)$ . Then,  $f$  has between  $m - 1$  and  $M - 1$  zeroes in  $(0, \pi)$ .

This remains true for Sturm-Liouville eigenfunctions with Dirichlet boundary conditions.

$$f = \sum_{k=m}^M a_k \sin(kx) \text{ has } n \text{ zeroes} \Rightarrow \begin{matrix} m \leq n+1 \\ M \geq n+1 \end{matrix}$$

$$f = \sum_{k=m}^M a_k \sin(kx) \text{ has } n \text{ zeroes} \Rightarrow \begin{matrix} m \leq n+1 \\ M \geq n+1 \end{matrix}$$

For bounds on  $a_k$ , see Quantitative projections in the Sturm Oscillation Theorem by S. Steinerberger (2020).

## Higher dimensions

Courant's theorem (1923): the  $n$ -th Dirichlet eigenfunction of the Laplacian on a domain  $\Omega \subset \mathbb{R}^d$  has at most  $n - 1$  nodal domains.



## Higher dimensions

Courant's theorem (1923): the  $n$ -th Dirichlet eigenfunction of the Laplacian on a domain  $\Omega \subset \mathbb{R}^d$  has at most  $n - 1$  nodal domains.

Courant-Herrmann conjecture (stated in Courant-Hilbert!): also true for linear combinations of the first  $n$  eigenfunctions.

## Higher dimensions

Courant's theorem (1923): the  $n$ -th Dirichlet eigenfunction of the Laplacian on a domain  $\Omega \subset \mathbb{R}^d$  has at most  $n - 1$  nodal domains.

Courant-Herrmann conjecture (stated in Courant-Hilbert!): also true for linear combinations of the first  $n$  eigenfunctions.

VERY FALSE: various counterexamples since the 70's.

Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Finite number of edges

Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Finite number of edges

Each edge has finite length

Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Finite number of edges

Each edge has finite length

Loops permitted

Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Finite number of edges

Each edge has finite length

Loops permitted

We consider eigenfunctions of  $H_W = -\Delta + W$ , where  $W$  is  $C^1$  and the vertex conditions are

Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Finite number of edges

Each edge has finite length

Loops permitted

We consider eigenfunctions of  $H_W = -\Delta + W$ , where  $W$  is  $C^1$  and the vertex conditions are

Dirichlet at boundary



Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Finite number of edges

Each edge has finite length

Loops permitted

We consider eigenfunctions of  $H_W = -\Delta + W$ , where  $W$  is  $C^1$  and the vertex conditions are

Dirichlet at boundary

For other vertices, sum of inwards derivatives is zero  
(Neumann-Kirchhoff)

Hybrid case: Quantum graphs

Let  $\Gamma = \Gamma(V, E)$  a graph with vertices  $V$  and edges  $E$ .

Assumptions on  $\Gamma$ :

Connected

Finite number of edges

Each edge has finite length

Loops permitted

We consider eigenfunctions of  $H_W = -\Delta + W$ , where  $W$  is  $C^1$  and the vertex conditions are

Dirichlet at boundary

For other vertices, sum of inwards derivatives is zero  
(Neumann-Kirchhoff)

All eigenfunctions of  $H_W$  do not vanish at any inner vertex  
(called  $W$ -generic).

Let  $N(f)$  be the number of inner zeroes of  $f$ .

### Theorem (Band, C., 2023)

Let  $\Gamma$  be a  $W$ -generic graph with first Betti number  $\beta$ . Let  $f_k$  be the eigenfunctions of  $H_W = -\frac{\partial^2}{\partial x^2} + W$  with Dirichlet boundary conditions and Neumann-Kirchhoff continuity conditions on inner vertices. Let  $k_i$  be a strictly increasing sequence and  $F(x) = \sum_{i=1}^M a_i f_{k_i}(x)$  where each  $a_i$  is not zero. We have the following bounds:

$$k_1 - 1 - (M - 1)(|V_b| + 2\beta - 2) \leq N(F)$$
$$N(F) \leq k_M - 1 + \beta + (M - 1)(|V_b| + 2\beta - 2) .$$

Let  $N(f)$  be the number of inner zeroes of  $f$ .

### Theorem (Band, C., 2023)

Let  $\Gamma$  be a  $W$ -generic graph with first Betti number  $\beta$ . Let  $f_k$  be the eigenfunctions of  $H_W = -\frac{\partial^2}{\partial x^2} + W$  with Dirichlet boundary conditions and Neumann-Kirchhoff continuity conditions on inner vertices. Let  $k_i$  be a strictly increasing sequence and  $F(x) = \sum_{i=1}^M a_i f_{k_i}(x)$  where each  $a_i$  is not zero. We have the following bounds:

$$k_1 - 1 - (M - 1)(|V_b| + 2\beta - 2) \leq N(F)$$
$$N(F) \leq k_M - 1 + \beta + (M - 1)(|V_b| + 2\beta - 2) .$$

Upper bound is sharp in general

Consider  $g(x, y) = \sum_{i=1}^M a_i e^{-\lambda_{k_i} y} f_{k_i}(x)$ .

Consider  $g(x, y) = \sum_{i=1}^M a_i e^{-\lambda_{k_i} y} f_{k_i}(x)$ .

$g$  is a solution to  $\frac{\partial g}{\partial y} = \frac{\partial^2 g}{\partial x^2} - W(x)g$ .

Consider  $g(x, y) = \sum_{i=1}^M a_i e^{-\lambda_{k_i} y} f_{k_i}(x)$ .

$g$  is a solution to  $\frac{\partial g}{\partial y} = \frac{\partial^2 g}{\partial x^2} - W(x)g$ .

At  $y_0 \rightarrow -\infty$ ,  $g(x, y_0)$  looks like  $f_{k_M}$

Consider  $g(x, y) = \sum_{i=1}^M a_i e^{-\lambda_{k_i} y} f_{k_i}(x)$ .

$g$  is a solution to  $\frac{\partial g}{\partial y} = \frac{\partial^2 g}{\partial x^2} - W(x)g$ .

At  $y_0 \rightarrow -\infty$ ,  $g(x, y_0)$  looks like  $f_{k_M}$

At  $y_0 \rightarrow +\infty$ ,  $g(x, y_0)$  looks like  $f_{k_1}$



Consider  $g(x, y) = \sum_{i=1}^M a_i e^{-\lambda_{k_i} y} f_{k_i}(x)$ .

$g$  is a solution to  $\frac{\partial g}{\partial y} = \frac{\partial^2 g}{\partial x^2} - W(x)g$ .

At  $y_0 \rightarrow -\infty$ ,  $g(x, y_0)$  looks like  $f_{k_M}$

At  $y_0 \rightarrow +\infty$ ,  $g(x, y_0)$  looks like  $f_{k_1}$

Start at  $y = -\infty$ , look at nodal lines of  $g$

## Topological properties

Show that there are no isolated zeroes.

## Topological properties

No isolated zeroes  $\Rightarrow$  nodal lines are continuous.

## Topological properties

No isolated zeroes  $\Rightarrow$  nodal lines are continuous.

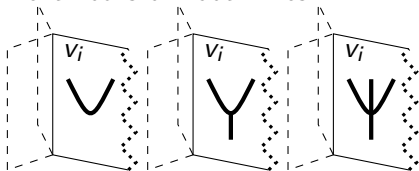
No flat part.

## Topological properties

No isolated zeroes  $\Rightarrow$  nodal lines are continuous.

No flat part.

Behaviours of nodal lines:

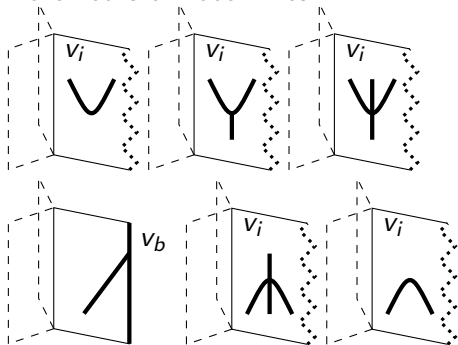


# Topological properties

No isolated zeroes  $\Rightarrow$  nodal lines are continuous.

No flat part.

Behaviours of nodal lines:

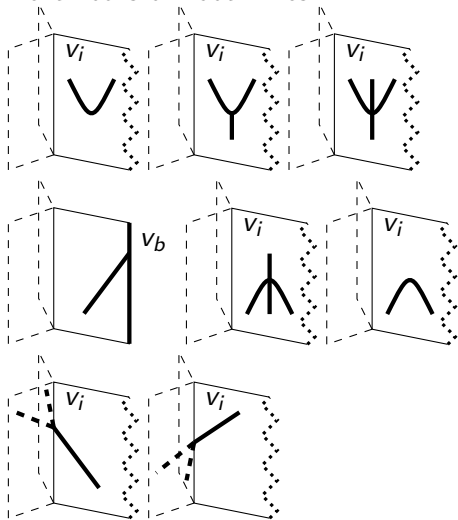


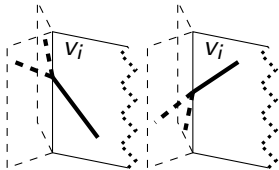
# Topological properties

No isolated zeroes  $\Rightarrow$  nodal lines are continuous.

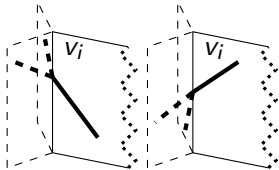
No flat part.

Behaviours of nodal lines:

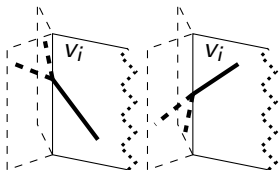






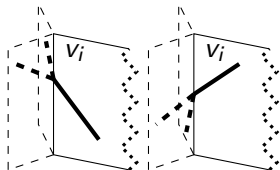


Can happen at most  $M - 1$  times on each inner vertex.



Can happen at most  $M - 1$  times on each inner vertex.

Each time it happens, it can create at most  $\deg(v) - 2$  new nodal lines (or reduce by that number).



Can happen at most  $M - 1$  times on each inner vertex.

Each time it happens, it can create at most  $\deg(v) - 2$  new nodal lines (or reduce by that number).

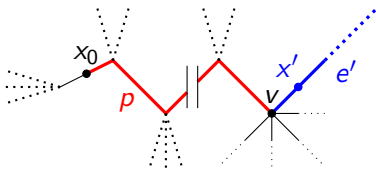
Known bounds:  $k - 1 \leq N(f_k) \leq k - 1 + \beta$  (many people)

Leads us to  $k_1 - 1 - (M - 1) \left( \sum_{inner} \deg(v) - 2 \right) \leq N(F) \leq$   
 $k_M - 1 + \beta + (M - 1) \left( \sum_{inner} \deg(v) - 2 \right).$

Non-trivial examples for any tree:

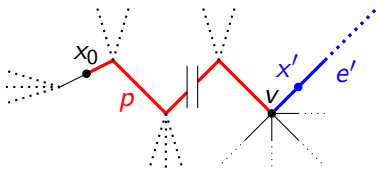
$x_0$  is the only zero of  $f_2$   $v$  is the vertex of highest multiplicity

$p$  is the path between  $x_0$  and  $v$ .  $x'$  is any point close to  $v$  that is not in  $v$



Non-trivial examples for any tree:

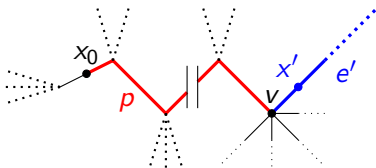
$x_0$  is the only zero of  $f_2$   $v$  is the vertex of highest multiplicity  
 $p$  is the path between  $x_0$  and  $v$ .  $x'$  is any point close to  $v$  that is not in  $v$



Choose  $a$  such that  $af_1(x') + f_2(x') = 0$ .

Non-trivial examples for any tree:

$x_0$  is the only zero of  $f_2$   $v$  is the vertex of highest multiplicity  
 $p$  is the path between  $x_0$  and  $v$ .  $x'$  is any point close to  $v$  that is not in  $v$

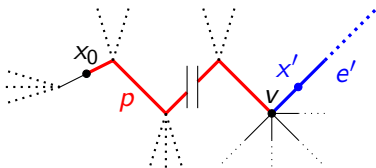


Choose  $a$  such that  $af_1(x') + f_2(x') = 0$ .

Choose  $t$  such that  $ae^{-\lambda_1 t} f_1(v) + e^{-\lambda_2 t} f_2(v) = 0$ .

Non-trivial examples for any tree:

$x_0$  is the only zero of  $f_2$   $v$  is the vertex of highest multiplicity  
 $p$  is the path between  $x_0$  and  $v$ .  $x'$  is any point close to  $v$  that is not in  $v$



Choose  $a$  such that  $af_1(x') + f_2(x') = 0$ .

Choose  $t$  such that  $ae^{-\lambda_1 t} f_1(v) + e^{-\lambda_2 t} f_2(v) = 0$ .

Then  $f(x) := ae^{-\lambda_1(t+\epsilon)} f_1(x) + e^{-\lambda_2(t+\epsilon)} f_2(x)$  has at least  $\deg(v) - 1$  zeroes.

Sharp upper bound:

Start with this graph (assume it has  $s - 1$  small edges):





Sharp upper bound:

Start with this graph (assume it has  $s - 1$  small edges):



Shrink the small edges.

Sharp upper bound:

Start with this graph (assume it has  $s - 1$  small edges):



Shrink the small edges.

Take a linear combination of the first  $M$  eigenfunctions with  $M - 1$  zeroes on each small edge.

Sharp upper bound:

Start with this graph (assume it has  $s - 1$  small edges):



Shrink the small edges.

Take a linear combination of the first  $M$  eigenfunctions with  $M - 1$  zeroes on each small edge.

Upper bound:  $N(F) \leq M - 1 + 0 + (M - 1)(s - 2)$

Sharp upper bound:

Start with this graph (assume it has  $s - 1$  small edges):



Shrink the small edges.

Take a linear combination of the first  $M$  eigenfunctions with  $M - 1$  zeroes on each small edge.

Upper bound:  $N(F) \leq M - 1 + 0 + (M - 1)(s - 2)$

Take a very small perturbation of edge lengths to make it generic.

Possible research directions:

Different boundary conditions

Possible research directions:

Different boundary conditions

Weaker assumptions on the potential

Possible research directions:

Different boundary conditions

Weaker assumptions on the potential

Weighted graphs.

Possible research directions:

Different boundary conditions

Weaker assumptions on the potential

Weighted graphs.

Bounds on the coefficients  $a_k$  (Steinerberger)



Possible research directions:

Different boundary conditions

Weaker assumptions on the potential

Weighted graphs.

Bounds on the coefficients  $a_k$  (Steinerberger)

Better bounds for graphs with interesting topology.